華亞證券有限公司
QMIS Securities Limited

**海外證券過戶存入申請表**
**OVERSEAS SECURITIES TRANSFER IN REQUEST FORM**

致：華亞證券有限公司（「華亞證券」）(證監會中央編號：ARK249)
香港上環德輔道中199號無限極廣場21樓2101室

To : QMIS Securities Limited ("QMIS Securities") (SFC CE Number : ARK249)
Unit 2101, 21/F, Infinitus Plaza, 199 Des Vouex Road Central, Sheung Wan, Hong Kong

**請按下述資料辦理有關海外證券過戶存入申請：**
**(備註：只適用於美股轉入至華亞證券-證券現金帳戶)**
**Please apply for overseas Securities transfer deposit as follows :**
**(Remarks : For U.S. Securities transfer over to QMIS Securities – Cash Securities Account Only)**

## 證券交易帳戶資料 Details of Securities Trading Account

帳戶號碼：
Account Number : _____

客戶名稱：
Client Name : _____

帳戶類別：
Account Type : ☐ 證券現金帳戶 ☐ Cash Securities Account

日間聯絡電話號碼：
Daytime Contact Number :(_____) _____

## 交付經紀人詳細資料 Details of Delivering Broker

交付經紀人名稱 Delivering Broker Name : _____

交付經紀人地址 Delivering Broker Address : _____

結算系統編號 Clearing System No. : _____

結算日（日 / 月 / 年）Value Date(dd/mm/yy) : _____

聯絡人名稱 Contact Person Name : _____

聯絡電話號碼 Contact Number : _____

## 交收指示 Transfer Instruction

請安排從上述交付經紀人收取下述之美國證券，並存入本人 /（吾等）於華亞證券的證券現金帳戶內：
Please kindly arrange to receive the following U.S. Securities from the above delivering party and deposit into my/(our) Cash Securities Account at QMIS Securities accordingly :

| 證券編號 Stock Code | 證券名稱 Stock Name | 股份數量 Quantity | 結算金額** Settlement Amount** | 索引號碼 System Ref No. |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |

(備註 (**)：結算金額可使用港幣或美元及其用於FOP, DVP或RDP.
(Remarks (**) : Settlement Amount can use HK Dollars or US Dollars and for FOP, DVP or RDP purposes.


更新日期：2024 年 12 月
Last Updated : December 2024

## 接收經紀人詳細資料 Details of Receiving Broker

接收經紀人名稱 Receiving Party Name : _____

記入 Credit To : _____

存管信託公司編號 DTC No. : _____ 帳戶號碼 Account Number : _____

聯絡人名稱 Contact Person Name : _____ 聯絡電話號碼 Contact Number : _____

本人/(吾等) 特此確認，上述證券之「實益擁有權」並未因進行是次交收而出現變動，本人/(吾等) 亦承認就本人/(吾等) 交收可能引致華亞證券蒙受或承擔任何費用及開支，並向華亞證券作出全數彌償。

I/(We) hereby confirm there is No Change of "Beneficial Ownership" through effecting this "Settlement Instruction". I/(We) also undertake to indemnify and keep QMIS Securities indemnified in respect of any cost and expense, whatsoever which may suffer or incur by QMIS Securities in connection with this "Settlement Instruction".

若客戶有任何查詢，請致電華亞證券客戶服務專線 (852) 3971 6988 內地客戶免費專線 4001 206 046與客戶服務主任聯絡。

For any further assistance, please contact our Customer Service Officer at QMIS Securities Hotline at (852) 3971 6988 / Mainland Toll-Free : 4001 206 046.

**戶口持有人簽署 Account Holder Signature ：** **聯名戶口持有人簽署 Joint Account Holder Signature ：**

_____ _____

**日期 Date ：** _____ **日期 Date ：** _____

## 填寫指引 Filling Practices

若客戶欲透過電子結算系統將其它「券商行或銀行」之美國證券存放至客戶於華亞證券有限公司（「華亞證券」）開立之證券交易帳戶，或從該客戶於華亞證券之證券交易帳戶內調撥美國證券至其它「券商行或銀行」，客戶必須依照以下程序辦理有關手續：

If the Client wishes to deposit U.S. Securities of other "Outside Brokerage Firm(s) or Bank(s)" through the e-Settlement System into the Securities trading account opened by the Client at QMIS Securities Limited ("QMIS Securities") or transfer U.S. Securities from the Client's Securities trading account in QMIS Securities to other "Outside Brokerage Firms or Bank(s)", the Client shall apply for the following steps to handle the relevant procedures :

**步驟 1**： 完成填寫華亞證券**「 海外證券過戶存入申請表 」**

**STEP 1**： Complete QMIS Securities' "**Overseas Securities Transfer In Request Form**"

**步驟 2**： 確保所有帳戶持有人簽署**「 海外證券過戶存入申請表 」**

**STEP 2**： Ensure that all account holders sign the "**Overseas Securities Transfer In Request Form**"

**步驟 3**： 將完成填寫及簽署之交收指示傳真或電郵至**華亞證券「客戶服務部 」**收

**STEP 3**： 傳真號碼：(852) 3971 6989
電郵地址：cs@qmis.com.hk
Facsimile OR E-mail the duly completed and signed instruction form to **QMIS Securities' "Customer Service"**
Facsimile Number : (852) 3971 6989
E-Mail Address : cs@qmis.com.hk

**步驟 4**： 客戶必須通知及聯絡其交易之「券商行或銀行」，有關「存放」或「提取」交收美國證券之安排

**STEP 4**： Notify and contact the "Outside Brokerage Firm(s) or Bank(s)" of the intended U.S. Securities Transfer "TO" / "FROM" QMIS Securities.

## 存入證券 / 投資產品注意事項 Securities / Investment Product Deposit Attention

1. 若客戶需要安排美國證券從多間「券商行或銀行」帳戶轉至華亞證券，客戶需要為每間「券商行或銀行」帳戶填寫一份獨立之「海外證券過戶存入申請表」。
   If the Client needs to arrange for the transfer of U.S. Securities from multiple ""Outside Brokerage Firms or Banks" accounts to QMIS Securities, the Client is required to fill in a separate "Overseas Securities Transfer In Request Form" for each "Outside Brokerage Firm or Bank" account.
2. 原有「券商行或銀行」之帳戶登記持有人名稱與客戶在華亞證券之帳戶登記持有人名稱**必須完全相同**。
   The Client's account holder name with the "Outside Brokerage Firms or Banks" and under QMIS Securities **MUST BE Identical**.
3. 若客戶能於當天交易日上午 11:00 前送達「海外證券過戶存入申請表」,華亞證券會安排即日進行處理;若於上午 11:00 後送達的「海外證券過戶存入申請表」通知將被撥入下一個交易日處理。
   If the Client can deliver the "Overseas Securities Transfer In Request Form" before 11:00 a.m. on the Trading Day of the same day, QMIS Securities will arrange for the same-day processing; otherwise this "Overseas Securities Transfer In Request Form" delivers after 12:00 a.m. will be processed on the next Trading Day.
4. 當「海外證券過戶存入申請表」轉戶手續完成，華亞證券會盡快將其存入客戶的美國證券交易帳戶內。
   When the "Overseas Securities Transfer In Request Form" transfer process is completed, QMIS Securities will deposit those U.S. Securities into the Client's Securities trading account as soon as possible.
5. 客戶只能於華亞證券完成「海外證券過戶存入申請表」轉戶手續後，才可以賣出有關美國證券。
   The Client may only sell those U.S. Securities after the Securities has been reflected in the Client's Securities trading account.

## 公司專用 For Office Use Only

| Signature Verified By : | Funding Availability Verified By : | Executed By : | Recorded By : |
|---|---|---|---|
| | | | |



ADDRESS : Unit 2101, 21/F, Infinitus Plaza, 199 Des Voeux Road Central, Sheung Wan, Hong Kong
地址：香港上環德輔道中199號無限極廣場21樓2101 室
TEL 電話 : (852) 3971 6988 | FAX 傳真 : (852) 3971 6989 | EMAIL 電郵 : cs@qmis.com.hk | WEBSITE 網站 : www.qmissecurities.com