



ADDITIONAL DECLARATION BY APPLICANT

申請人附加聲明

Client's Companion / Additional QMIS Asset Management's Staff Arrangement (**NOT Applicable** to Client(s) Aged 65 or below, or Education Level above Primary 6)

客戶同伴 / 多一位華亞資產管理僱員安排 (**並不適用**於客戶年齡為65歲或以下，或教育程度為小學六年級以上)

As I am/(We are), 由於本人/(吾等) 是： ☐ Elderly (Aged 66 or above) 長者 (66歲或以上)

☐ with Education Level (Primary 6 or below) 教育程度 (小學6年級或以下)

Client's Companion Arrangement 客戶同伴安排

I/(We) acknowledge and understand that I/(we) have the right to accompany me/(us) in the process of opening an Asset Management Account with my/our companion with **QMIS Asset Management Limited ("QMIS Asset Management") (SFC CE Number : ATB753)** to ensure I/(we) understand all contents of **"Agreement for Asset Management Account"**, including the enclosure of **Part 4 "Risk Disclosure Statement"** and the relevant fees charged.

本人/(吾等)確認並明白本人/(吾等)有權攜同同伴在**華亞資產管理有限公司** (下稱「**華亞資產管理**」) (**證監會中央編號：ATB753**) 開立資產管理帳戶的過程中陪同本人/(吾等)瞭解「**資產管理帳戶協議書**」及內附**第四部份「風險披露聲明**」所載的內容及所需收取之費用。

☐ I am /(We are) bringing along a companion whose name is : _____ ("**Companion**" relationship with me/(us) are _____) accompanying me/(us) in the process of opening an asset management account, and the companion is **currently aged between 18 and 65**, and has a **Secondary Education Level or Above**, and use a communicative language throughout the explanation process and assist me/(us) in understanding all relevant documents with the necessary knowledge and experience.

本人/(吾等) 現攜同同伴，同伴名稱：_____ (下稱「**同伴**」與本人/(吾等)之關係為_____)，在此開立資產管理帳戶過程中陪同本人/(吾等)，而同伴**現時年齡介乎18至65歲**，而且其學歷為**中學教育程度或以上**，並在整個講解過程中使用能夠溝通的語言及協助本人/(吾等)明白所有相關文件所需的知識及經驗。

☐ I/(We) confirm that QMIS Asset Management has strongly recommended that I/(we) attend this meeting with my/our companion to fully understand the contents of all relevant documents and the risks involved in financial investment. However, I/(We) confirm that I am/(we are) sufficiently competent to understand the above-mentioned matters relating to my/(our) application for account opening without the participation of a companion.

本人/(吾等)確認華亞資產管理曾強烈提議本人/(吾等)攜同同伴出席本次會晤，以完全明白所有相關文件的內容及金融投資所涉及的風險。惟本人/(吾等)確認本人/(吾等)可在毋須同伴參與的情況下亦有足夠能力明白以上提及有關本人/(吾等)申請開立帳戶事宜。

Additional Staff Arrangement (**MUST be conducted at QMIS Asset Management's Hong Kong office**)

多一位僱員安排 (必須在華亞資產管理的香港辦事處進行)

I/(We) acknowledge and understand that I am/(we are) entitled to have an additional QMIS Asset Management's licensed intermediary to handle this Asset Management Account opening process to enhance protection to me/(us).

本人/(吾等)確認並明白本人/(吾等)有權利選擇多一位華亞資產管理之持牌中介人辦理此開立資產管理帳戶程序以增加對本人/(吾等)的保障。

☐ I/(We) would like to have an additional licensed intermediary of QMIS Asset Management assist in this account opening process and confirm that the application process will proceed as usual.

(**Name of the QMIS Asset Management Licensed Intermediary** : _____ , **Job Position** : _____)

本人/(吾等)希望多一位華亞資產管理之持牌中介人協助辦理此開立帳戶程序，並確認申請開立帳戶程序會如常進行。

(**華亞資產管理之持牌中介人名稱** : _____ , **工作職位** : _____)

☐ Despite the fact that I am/(we are) entitled to do so, I/(we) acknowledge that I/(we) do not need to have another QMIS Asset Management's licensed intermediary to handle this asset management account opening process.

儘管本人/(吾等)有權選擇，但本人/(吾等)確認本人/(吾等)毋須多一位華亞資產管理之持牌中介人辦理此開立資產管理帳戶程序。

Signature of Individual / Primary Account Holder
個人 / 主要帳戶持有人簽署

Client Name
客戶名稱

Date
日期

Signature of Secondary Joint Account Holder
聯名帳戶持有人簽署

Client Name
客戶名稱

Date
日期

Signed and Certified by 簽署及認證*：

* Certified Public Accountant, Lawyer or Notary Public

註冊會計師、律師或公證人

Signature 簽署 _____

Name 名稱 _____

Profession 所屬專業 _____

Date 日期 _____

Last Update : August 2025