



致：華亞證券有限公司（「華亞證券」）（證監會中央編號：ARK249）

香港上環德輔道中199號無限極廣場21樓2101室

To：QMIS Securities Limited（“QMIS Securities”）（SFC CE Number：ARK249）

Unit 2101, 21/F, Infinitus Plaza, 199 Des Voeux Road Central, Sheung Wan, Hong Kong

有關客戶證券交易帳戶申請環球證券交易使用權限事宜：

Matters relating to Client Securities trading account application for global securities trading access right：

證券交易帳戶資料 Details of Securities Trading Account

帳戶號碼：

Account Number：_____

客戶名稱：

Client Name：_____

帳戶類別：

☐ 證券現金帳戶

日間聯絡電話號碼：

Account Type：☐ Cash Securities Account

Daytime Contact Number：(_____)_____

服務要求指示 Request Service Instruction

本人 / (吾等) 特此確認以下聲明，作為申請以下證券市場的交易服務，明白這些聲明並不構成申請成功。華亞證券有限公司（下稱「華亞證券」）將根據本人 / (吾等) 證券交易帳戶資料及相關法規作出最終及不可抗辯的決定及不時更改服務狀況，而且不予通知及不會承擔任何形式的責任或賠償。

I/(We) hereby confirm the following declaration(s) as trading services for applications in the following Securities market(s) and understand that this(ese) declaration(s) do(es) not constitute the success of the application. QMIS Securities Limited ("QMIS Securities") shall make final and uncontestable decisions and change the status of services from time to time in accordance with my/(our) Securities trading account information and relevant laws and regulations, without notice and without any liability or compensation of any kind.

申請日期：

Application Date：_____

生效日期：

Effective Date：_____

服務指示 Service Type：

☐ 申請服務 Application

申請交易證券市場 Apply to Trade Securities Market：

交易市場 Trade Market	有關細節 Details	確認 Confirm
澳洲 Australia	請為本人/(吾等) 開啓澳洲證券交易服務使用權限，本人/(吾等) 特此確認本人/(吾等) 並 沒有持有澳洲國籍、公民或稅務居民資格。 Please activate the Australia Securities Trading Services access right for me/(us), and I/(We) hereby confirm that I/(We) do not hold Australian nationality, citizenship or tax residency status.	<input type="checkbox"/>
臺灣 Taiwan	請為本人/(吾等) 開啓臺灣證券交易服務使用權限，本人/(吾等) 特此確認本人/(吾等) 並沒有持有臺灣或中國的國籍、公民或稅務居民資格或地址，並且投資資金並非來自以上所提及之地方。	<input type="checkbox"/>

	Please activate the Taiwan Securities Trading Services access right for me/(us), and I/(We) hereby confirm that I/(We) do not hold the nationality, citizenship or tax residency status or address in Republic of China (i.e. Taiwan) or People's Republic of China and that the investment funds do not originate from the places mentioned above.	
日本 Japan	請為本人/(吾等) 開啓日本證券交易服務使用權限。本人/(吾等) 特此確認本人/(吾等) 並非於日本上市公司擔任 Officer 有關職位 (客戶請參考 Article 15 of the Rules Concerning Solicitation for Investments and Management of Customers, etc. by Association Members 中 Officer 的定義)。 Please activate the Japan Securities Trading Service access right for me/(us). I/(We) hereby confirm that I am/(We are) not holding an Officer position in a Japanese Listed Company (Please refer to Article 15 of the Rules Concerning Solicitation for Investments and Management of Customers, etc. by Association Members as an Officer)	<input type="checkbox"/>

(備註：客戶必須聲明有關國籍、公民或稅務居民資格或其它事項以符合相關市場司法管轄區政府的法規要求。)
(Remarks : Clients must declare his/her nationality, citizenship or tax residency status or other matters in accordance to the regulatory requirements of the relevant market jurisdictional government.)

注意事項 Points to Note

1. 若客戶的國籍、公民或稅務居民資格，或地址有所改變，請在發生資料改變後 **30 個工作日內**，向華亞證券更新有關帳戶資料。
If the Client's nationality, citizenship or tax residency status, or address changes, please update the relevant account information to QMIS Securities **Within 30 Working Days** after the data change.

2. 若客戶的國籍、公民或稅務居民資格更改為以上的司法管轄區，華亞證券將於知悉有關更改後而停止客戶在該市場交易服務上的使用權限，並不予以通知。
If the Client's nationality, citizenship or tax residency status is changed to the one of the jurisdictions mentioned above, QMIS Securities will cease the Client's access right to the Market Trading Services without notice upon becoming aware of the changes.

3. 若客戶作出誤導性、虛假或不正確的陳述，以致觸犯以上司法管轄區政府的條例，客戶有可能承擔相關的法律責任。
If the Client makes misleading, false or incorrect statements that would violate the above jurisdictional government regulations, the Client may bear the relevant legal liability.

4. 客戶欲查詢有關服務狀態，請致電華亞證券「客戶服務部」熱線 (852) 3971 6988 或 內地客戶免費專線 4001 206 046 與客戶服務主任聯絡或電郵至 cs@qmis.com.hk 查詢。
To enquire about the status of the service, please contact the QMIS Securities "Customer Service" at (852) 3971 6988 or the Mainland Toll-Free at 4001 206 046 or email the Customer Service Officer at cs@qmis.com.hk.

戶口持有人簽署 Account Holder Signature :	聯名戶口持有人簽署 Joint Account Holder Signature :
<div></div>	<div></div>
日期 Date : <div></div>	日期 Date : <div></div>

公司專用 For Office Use Only			
System Input/Effective Date :	Signature Verified By :	Executed By :	Approved By :
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