

## Securities Service Fee Schedule

Hong Kong Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Non-Electronic Trading Commission	0.25% of the Transaction Amount, with a Minimum Fee of HKD100
Electronic Trading Commission	0.2% of the Transaction Amount, with a Minimum Fee of HKD88
Stamp Duty ( collected by HKSAR Government )	0.13% of the Transaction Amount (Less than HKD1 is also calculated as HKD1)
Trading Fee ( collected by SEHK )	0.00565% of the Transaction Amount
SFC Transaction Levy	0.00027% of the Transaction Amount
Transaction Levy ( collected by FRC )	0.00015% of the Transaction Amount
Settlement Fee ( collected by CCASS )	0.01% of the Transaction Amount, Minimum HKD3 (of which 0.002% will be Charged by CCASS)
IPO Subscription Fee	HKD100 Per Transaction
<b>(B) Hong Kong Physical Securities and Settlement</b>	
Physical Securities Deposit Fees	HKD5 Per Security
Physical Securities Withdrawal Fees	HKD8 Per Lot, with a Minimum Charge of HKD100
Investor Settlement Instruction Fee ( Rollover Withdrawal )	HKD8 Per Lot, with a Minimum Charge of HKD100
<b>(C) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	0.5% of the Dividend Amount, with a Minimum Charge of HKD30
Bonus Shares Collection Fee	Each Case HKD30
Scrip Fee ( collected by HKSCC )	HKD1.5 Per Lot
Subscription / Automatic Rights Issue, Warrants, Reserve Warrant Fees	HKD0.8 Per Lot, Minimum Charge HKD100
<b>(D) Account Services and Other Charges</b>	
Termination of Cheque Payment / Cheque Deposit Bounce Fee	HKD200 Per Time
Local Transfer Withdrawal Fee	HKD150 (Applicable for HKD, USD, RMB only)
Remittance Fee	HKD200 Local Processing Fee
Monthly Statement / Advice Mail Fee	HKD20 Per Document
Supplementary Account Monthly Statement Fee	HKD50 Per Document

Shanghai-Hong Kong Stock Connect Fee :	
Service Items (Shanghai A Shares)	Basic Fee
<b>(A) Securities Trading Services</b>	
Trading Commission	0.25% of the Transaction Amount, with a Minimum Fee of RMB100
Handling Fee ( collected by SSE )	0.00487% of Transaction Amount
Securities Management Fee ( collected by CSRC )	0.002% of the Amount of Each Transaction
Transfer Fee ( collected by China Settlement)	0.001% of the Amount of Each Transaction
Transfer Fee ( collected by CCASS )	0.002% of the Amount of Each Transaction

Stamp Duty ( for SELL order only ) ( collected by State Taxation Administration )	0.01% of the Amount of Each Transaction
<b>(B) Securities Settlement Services</b>	
Securities Deposit	Free of Charge
Settlement Instruction Fee ( Transfer Deposit )	0.1% of the Total Value of the Security of the Last Trading Day, and the Minimum Fee RMB100 Per Transaction
Mandatory Supplementary Share Fees	1% of the Market Value of the Transaction that has not been delivered on time
<b>(C) Custody, Agent Services and Corporate Actions</b>	
Securities Custody Fee	0.015% of the Value of Assets Held ( calculated on a Daily Basis, collected Monthly )
Dividend Collection ( Cash OR Scrip )	0.5% of Dividend Amount
Collect Bonus Stock Fee	Each Case RMB50
Handling Cash Acquisition and other Cash-Related Performance Fee (on behalf of Clients)	0.25% Charged at the Cash Purchase Price, with a Minimum Fee of RMB100

Shenzhen-Hong Kong Stock Connect Fee :	
Service Items (Shenzhen A Shares)	Basic Fee
<b>(A) Securities Trading Services</b>	
Trading Commission	0.25% of the Transaction Amount, with a Minimum Fee of RMB100
Handling Fee ( collected by SZSE )	0.00487% of Transaction Amount
Securities Management Fee ( collected by CSRC )	0.002% of the Amount of Each Transaction
Transfer Fee ( collected by China Settlement)	0.001% of the Amount of Each Transaction
Transfer Fee ( collected by CCASS )	0.002% of the Amount of Each Transaction
Stamp Duty ( for SELL order only ) ( collected by State Taxation Administration )	0.01% of the Amount of Each Transaction
Trading Commission	0.25% of the Transaction Amount, with a Minimum Fee of RMB100
<b>(B) Securities Settlement Services</b>	
Securities Deposit	Free of Charge
Settlement Instruction Fee ( Transfer Deposit )	0.1% of the Total Value of the Security of the Last Trading Day, and the Minimum Fee RMB100 Per Transaction
Mandatory Supplementary Share Fees	1% of the Market Value of the Transaction that has not been delivered on time
<b>(C) Custody, Agent Services and Corporate Actions</b>	
Securities Custody Fee	0.015% of the Value of Assets Held ( calculated on a Daily Basis, collected Monthly )
Dividend Collection ( Cash OR Scrip )	0.5% of Dividend Amount
Collect Bonus Stock Fee	Each Case RMB50
Handling Cash Acquisition and other Cash-Related Performance Fee (on behalf of Clients)	0.25% Charged at the Cash Purchase Price, with a Minimum Fee of RMB100

United States Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Non-Electronic Trading Commission	Executed Quantity x USD0.02, with a Minimum Fee of USD50
Electronic Trading Commission	Executed Quantity x USD0.015, with a Minimum Fee of

	USD35
SEC Fee ( for SELL orders only )	0.0008% of the Transaction Amount
Financial Supervisory Authority Transaction Fee ( for SELL orders only )	USD0.000145 Per Share (Minimum Fee USD0.01, Maximum Fee \$7.27)
U.S. Securities Publicly Trading Partnership (PTP) ( for SELL orders only )	Withholding Tax: 10%      Tentative effective date: December 29, 2022
Securities Transfer ( via DTC )	Each Case USD150
Securities Transfer ( via ACAT )	Each Case USD450
Securities Withdrawal ( via DTC )	Each Case USD150
Physical Securities Depository	Each Case USD1,000, Plus Courier Charge
ADR Depository Agent Fee	Each Share Each Year USD0.03
<b>(B) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	Each Case USD8
Collect Dividend Tax from the U.S. Tax Service	30% Tax Rate of the Dividend Amount depends on the Tax Rate Policy of the U.S. Government, which varies for Each Security

Singapore Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Non-Electronic Trading Commission	0.5% of the Transaction Amount, with a Minimum Fee of SGD50
Electronic Trading Commission	0.35% of the Transaction Amount, with a Minimum Fee of SGD38
SGX Trading Fee	0.0075% of Transaction Amount
Securities Clearing Fee	0.0075% of Transaction Amount
Settlement Fee	SGD0.35 Per Transaction (based on Transaction Currency)
Custody Fee	Free of Charge
GST ( for Singaporeans only )	Subject to the publication of the Inland Revenue Authority of Singapore (IRAS).
<b>(B) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	1% of the Dividend Amount, with a Minimum Fee of SGD10
Dividend Withholding Tax	25% of Dividend Amount

Malaysia Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Non-Electronic Trading Commission	0.5% of the Transaction Amount, with a Minimum Fee of MYR100
Electronic Trading Commission	0.35% of the Transaction Amount, with a Minimum Fee of MYR80
Stamp Duty	0.15% of the Transaction Amount (up to MYR1,000)
Clearing Fee	0.03% of the Transaction Amount (up to MYR1,000)
<b>(B) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	1% of the Dividend Amount, with a minimum Fee of MYR20
Dividend Withholding Tax	25% of Dividend Amount

Australia Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Non-Electronic Trading Commission	0.3% of the Transaction Amount, with a Minimum Fee of AUD38
Electronic Trading Commission	0.25% of the Transaction Amount, with a Minimum Fee of AUD30
Custody Fee	Total Australian Securities Portfolio Value x 0.05% / 12 (Minimum Fee AUD 1, Charged at the end of the last Trading Day of Each Calendar Month)
Securities Deposit	AUD20 Per Security
Securities Transfer	AUD55 Per Security
<b>(B) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	AUD10 Per Transaction
Dividend Withholding Tax	30% of Dividend Amount

Japan Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Non-Electronic Trading Commission	0.25% of the Transaction Amount, with a Minimum Fee of JPY5,000
Electronic Trading Commission	0.2% of the Transaction Amount, with a Minimum Fee of JPY3,000
Transfer Fee	Each Case JPY3,500
<b>(B) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	Each Case JPY1,000
Dividend Withholding Tax	20.42% of Dividend Amount ( Tax Refund arranged by Client : 5.105% )

Taiwan Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Trading Commission	0.3% of the Transaction Amount, with a Minimum Fee of NTD1,200
Transaction Fee	NTD1,500 Per Transaction
Sales Tax ( for SELL Order Only )	0.3% of the Transaction Amount
Securities Custody ( On Behalf of Custodian Bank )	USD250 Per Month Per Share
<b>(B) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	Each Case NTD600
Dividend Withholding Tax	21% of Dividend Amount

Germany Securities Service Fee :	
Service Items	Basic Fee
<b>(A) Securities Trading Services</b>	
Trading Commission	0.3% of the Transaction Amount, with a Minimum Fee of EUR38
Custody Fee	Total German Portfolio Value x 0.05% / 12 (Minimum Fee EUR 1, Charged in the following Calendar Month)

<b>(B) Agent Services and Corporate Actions</b>	
Dividend Collection ( Cash OR Scrip )	EUR20 Per Transaction
Dividend Withholding Tax	26.375% of Dividend Amount

### **Terms and Conditions - Securities Trading Services Fee Schedule**

1/ If the above fees are charged per lot, the less than one lot is also calculated as one lot.

2/ Unless otherwise stated, all fees are charged on a per trade, per security basis.

3/ If the balance of the Client's Securities Trading Account is insufficient to cover the relevant fees, QMIS Securities has the right to deduct or directly charge the relevant fees from other accounts held by the Client in QMIS Securities.

4/ For Hong Kong Securities : Cash dividends collected in foreign currencies will be credited to the Client's Hong Kong Dollars Settlement Securities Trading Account. For other non-Hong Kong Securities, cash dividends collected in foreign currencies will be deposited into the Client's Securities Trading Account in the same currency. If the Client does not have a Securities Trading Account in that currency, the cash dividends received will be converted and deposited into the Client's Hong Kong Dollars Settlement Securities Trading Account, and QMIS Securities will be calculated at the prevailing market exchange rate between the two currencies.

5/ In connection with the Securities trading, custody and/or agent services provided by QMIS Securities, Clients may be required to pay additional fees and charges imposed by Third Parties (such as governments, regulators, overseas representatives or relevant service providers). These fees and charges other than those charged by Third Parties may change from time to time and QMIS Securities has the absolute right to determine such fees and charges, please contact QMIS Securities Customer Service Department for details.

### **Contact QMIS Securities "Customer Service Department"**

Business Hours : Every Monday to Friday (Except Saturday, Sunday and Public Holiday)

From 9:00 a.m. To 6:00 p.m.

Direct Securities Trading Hotlines : (852) 3971 6928 (Hong Kong) or  
4001 206 046 (China)

Remarks : -

The information contained in this Fee Schedule is the fee reference information for various services provided by QMIS Securities Limited (the "Company") to Clients.

The fees contained in this Fee Schedule are current as of June 2023. The Company reserves the absolute right to amend or add any service fees or charges from time to time. If the service charges are not listed in this Fee Schedule, please contact our Customer Service Department.

The Company will not notice any change in the fees or fees determined and collected by the Government of the Hong Kong Special Administrative Region / Hong Kong Exchanges and Clearing Company Limited / Securities and Futures Commission / Hong Kong Securities Clearing Company Limited / The Stock Exchange of Hong Kong Limited / Financial Reporting Council / Shanghai Stock Exchange / Shenzhen Stock Exchange / China Securities Regulatory Commission / China Securities Registration and Clearing Corporation Limited and the State Administration of Taxation without prior notice.

If there is any discrepancy between the English and Chinese versions of this Fee Schedule, the English version shall prevail.

**Last Update : June 2023**