



ADDITIONAL DECLARATION BY APPLICANT

申請人附加聲明

Client's Companion/Additional Staff Arrangement (**NOT Applicable** to Client(s) Aged 65 or below, or Education Level above Primary 6)

客戶同伴/多一位員工安排 ( **並不適用** 於客戶年齡為65歲或以下, 或教育程度為小學六年級以上 )

As I am /(We are), 由於本人 /(吾等) 是: ☐ Elderly ( Aged 66 or above ) 長者 ( 66歲或以上 )

☐ with Education Level ( Primary 6 or below ) 教育程度 ( 小學六年級或以下 )

Companion Arrangement 客戶同伴安排

I/(We) hereby acknowledge and understand that I am/(we are) entitled to have a companion accompany me/(us) for the entire process of opening Securities Trading Account with **QMS Securities Limited** ( "**QMS Securities**" ) ( **SFC CE Number : ARK249** ) to ensure I/(we) understand all contents of "**Agreement for Securities Trading Account**", the enclosure of the "**Risk Disclosure Statement**" and the relevant fees and charges.

本人/(吾等)確認並明白本人/(吾等)有權攜同同伴在整個於華亞證券有限公司 ( 下稱「華亞證券」 ) ( 證監會中央編號: **ARK249** ) 開立證券交易帳戶之過程中陪同本人/(吾等)瞭解「華亞證券交易帳戶協議書」內所載的內容、內附的「風險披露聲明」及所需收取費用與收費。

☐ I/(We) wish to have a companion accordingly, acknowledge and confirm that \_\_\_\_\_ ("**Companion**" Relationship with me/(us): \_\_\_\_\_) has accompanied me/(us) in the present process and this Companion is aged between 18 to 65, with **Secondary school education or above**, proficient in the language used during the course of explanation, and with the required knowledge and experience to assist me/(us) to understand all relevant documents.

本人/(吾等)希望能攜同同伴, 確認並證實 \_\_\_\_\_ ( 下稱「同伴」與本人/(吾等)之關係為 \_\_\_\_\_ ), 在此開戶過程中陪同本人/(吾等), 而同伴現時之年齡介乎18至65歲、擁有中學教育程度或以上、能操流利於在講解過程中使用的語言並能夠協助本人/(吾等)明白所有相關文件所需的知識及經驗。

☐ I/(We) hereby acknowledge that I am/(we are) strongly recommended by QMS Securities to bring along a Companion to attend this meeting so as to fully understand the content of all relevant documents and the risks of Securities investments. However, I/(we) confirm that I am/(we are) capable to understand the above matters without the involvement of a Companion.

本人/(吾等)特此確認華亞曾強烈提議本人/(吾等) 攜同同伴出席本次會晤以完全明白所有相關文件的內容及證券投資所涉及的風險。惟本人/(吾等)確認本人/(吾等)在毋須同伴參與的情況下亦有足夠能力明白上述事項。

Additional Staff Arrangement (MUST be conducted at QMS Securities' Hong Kong office or Shenzhen office) 多一位員工安排 ( 必須在華亞證券的香港辦事處或深圳代表處進行 )

I/(We) hereby acknowledge and understand that I am/(we are) entitled to have an additional QMS Securities' licensed intermediary to handle this Securities Trading Account opening process to enhance protection to me/(us).

本人/(吾等)特此確認並明白本人/(吾等)有權利選擇多一位華亞證券之持牌中介人辦理此開立交易帳戶過程以增加對本人/(吾等)的保障。

☐ I/(We) wish to have an additional licensed intermediary of QMS Securities to handle the present process and confirm that the process has been so proceeded. ( **Name of the Additional Intermediary:** \_\_\_\_\_, **Position:** \_\_\_\_\_ )

本人/(吾等)希望多一位華亞證券之持牌中介人辦理此開戶過程, 並確認過程如是進行。

( 額外華亞證券之持牌中介人名稱: \_\_\_\_\_, 職位: \_\_\_\_\_ )

☐ Despite the fact that I am/(we are) entitled to do so, I/(we) confirm that I/(we) do not need to have another QMS Securities' licensed intermediary to handle this process.

儘管本人/(吾等)有權利選擇, 但本人/(吾等)確認本人/(吾等)毋需多一位華亞證券之持牌中介人辦理此開立交易帳戶過程。

Signature of Individual/ Primary Account Holder

個人/主要帳戶持有人簽署

Name

客戶名稱

Date

日期

Signature of Secondary Joint Applicant  
聯名帳戶持有人簽署

Name  
客戶名稱

Date  
日期

Signed and Certified by 簽署及認證\*：

\* Certified Public Accountant,

Lawyer or Notary Public

註冊會計師、律師或公證人

Signature 簽署 \_\_\_\_\_

Name 名稱 \_\_\_\_\_

Profession 所屬專業 \_\_\_\_\_

Date 日期 \_\_\_\_\_