



ADDITIONAL DECLARATION BY APPLICANT

申請人附加聲明

Client's Companion/Additional QMIS Securities' Staff Arrangement (**NOT Applicable** to Client(s) Aged 65 or below, or Education Level above Primary 6)

客戶同伴/多一位華亞證券員工安排（**並不適用**於客戶年齡為65歲或以下，或教育程度為小學六年級以上）

As I am/(We are), 由於本人/(吾等) 是： ☐ Elderly (Aged 66 or above) 長者（66歲或以上）

☐ with Education Level (Primary 6 or below) 教育程度（小學六年級或以下）

Companion Arrangement 客戶同伴安排

I/(We) hereby acknowledge and understand that I/(we) have the right to accompany me/(us) in the process of opening a Securities Trading Account with my/our companion with QMIS Securities Limited ("QMIS Securities") (SFC CE Number : ARK249) to ensure I/(we) understand all contents of "Agreement for Securities Trading Account", including the enclosure of Part 4 "Risk Disclosure Statement" and the relevant fees and charges to be charged.

本人/(吾等)確認並明白本人/(吾等)有權攜同同伴在華亞證券有限公司（下稱「華亞證券」）（證監會中央編號：ARK249）開立證券交易帳戶之過程中陪同本人/(吾等)瞭解「華亞證券交易帳戶協議書」、內附第四部份「風險披露聲明」所載的內容及所需收取費用與收費。

☐ I/(We) am/(are) present with a companion whose name is _____ ("Companion" relationship with me/(us) _____) accompanying me/(us) during the account opening process, the companion is **currently between 18 and 65 years old**, has a **Secondary School Education or Above**, uses a communicative language during the presentation process and assists me/(us) to understand all the relevant documents with the knowledge and experience required.

本人/(吾等) 現攜同同伴，同伴名稱：_____（下稱「同伴」與本人/(吾等)之關係為_____），在此開戶過程中陪同本人/(吾等)，而同伴現時之年齡介乎18至65歲、擁有中學教育程度或以上、在講解過程中使用能夠溝通的語言並協助本人/(吾等)明白所有相關文件所需的知識及經驗。

☐ I/(We) hereby confirm that QMIS Securities has strongly recommended that I/(we) attend this meeting with my/our companion in order to fully understand the content of all relevant documents and the risks involved in Securities investment. However, I/(we) confirm that I am/(we are) sufficiently competent to understand the above-mentioned matters relating to my/(our) application for account opening without the involvement of a companion.

本人/(吾等)特此確認華亞證券曾強烈提議本人/(吾等)攜同同伴出席本次會晤以完全明白所有相關文件的內容及證券投資所涉及的風險。惟本人/(吾等)確認本人/(吾等)在毋須同伴參與的情況下亦有足夠能力明白以上提及有關本人/(吾等)申請開立帳戶事宜。

Additional Staff Arrangement (MUST be conducted at QMIS Securities' Hong Kong office)

多一位員工安排（必須在華亞證券的香港辦事處進行）

I/(We) hereby acknowledge and understand that I am/(we are) entitled to have an additional QMIS Securities' licensed intermediary to handle this Securities Trading Account opening process to enhance protection to me/(us).

本人/(吾等)特此確認並明白本人/(吾等)有權利選擇多一位華亞證券之持牌中介人辦理此開立交易帳戶過程以增加對本人/(吾等)的保障。

☐ I/(We) would like an additional licensed intermediary of QMIS Securities to handle this account opening process and confirm that the application process for account opening is proceeding as usual.

(Name of the QMIS Securities Licensed Intermediary: _____, Job Position: _____)

本人/(吾等)希望多一位華亞證券之持牌中介人辦理此開戶過程，並確認申請開立帳戶過程如常進行。

(華亞證券之持牌中介人名稱: _____, 工作職位: _____)

☐ Despite the fact that I am/(we are) entitled to do so, I/(we) confirm that I/(we) do not need to have another QMIS Securities' licensed intermediary to handle this process.

儘管本人/(吾等)有權選擇，但本人/(吾等)確認本人/(吾等)毋需多一位華亞證券之持牌中介人辦理此開立交易帳戶過程。

Signature of Individual/ Primary Account Holder
個人/主要帳戶持有人簽署

Name
客戶名稱

Date
日期

Signature of Secondary Joint Applicant
聯名帳戶持有人簽署

Name
客戶名稱

Date
日期

Signed and Certified by 簽署及認證*：

* Certified Public Accountant, Lawyer or Notary Public

註冊會計師、律師或公證人

Signature 簽署 _____

Name 名稱 _____

Profession 所屬專業 _____

Date 日期 _____